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**ANNUAL EXPORT COMPLIANCE CERTIFICATION**

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| Title of Sponsored Project/Activity: |  |
| RSP Proposal/Grant #:  | Sponsor:  |
| Principal Investigator: |  | Location(s) of Controlled Item(s): |  |

1. *Personnel participating on the project in previous 12 months:*

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| --- | --- | --- | --- |
| **Full Name** | **Country of Citizenship** | **Reviewed & Signed TCP** | **CITI Training Completed** |
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1. *Has any controlled equipment been purchased for the project in the past 12 months?*

 If yes, please list purchase date, Vendor, and Classification number:

1. *Describe any changes in Physical Security measures*:
2. *Describe any changes in IT security measures*:
3. *Identify any self-assessments or audits that were performed, steps taken, dates performed*:

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| **Principal Investigator Acknowledgement****I. I understand that** the law makes no exceptions for foreign graduate students or visiting scientists. **\_\_\_\_\_\_\_\_** **II. I understand: \_\_\_\_\_\_\_\_** * I may be held personally liable for civil or criminal violations of the U.S. Export Control Regulations;
* I must be clear on the requirements and exercise reasonable care in using and sharing Export Controlled information, technology, software, or items with others.
* Any changes to approved procedures or changes in personnel having access to controlled information covered must be cleared **in advance** by the Research Compliance Office.

**Printed Name:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Signature:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Date:**\_\_\_\_\_\_\_\_\_\_\_ |

**Department Chair:**

**Printed Name:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Signature:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Date:**\_\_\_\_\_\_\_\_\_\_\_

**Reviewed by the Research Compliance Office:**

**BY: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date\_\_\_\_\_\_\_\_\_\_**

**Notes:**