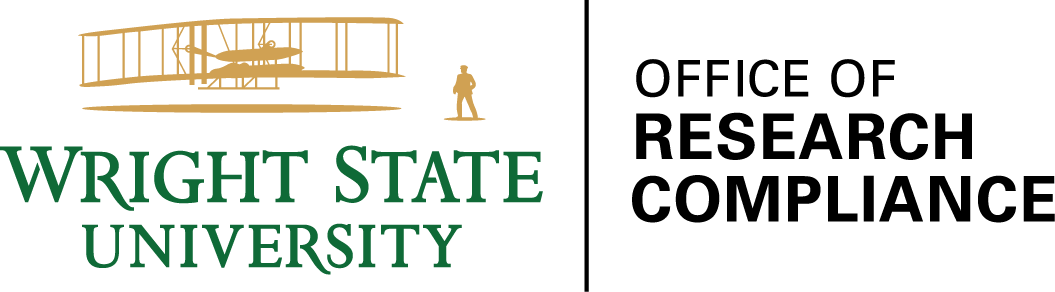
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**ANNUAL EXPORT COMPLIANCE CERTIFICATION**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Title of Sponsored Project/Activity: |  | | | | |
| RSP Proposal/Grant #: | | | Sponsor: | | |
| Principal Investigator: | |  | | Location(s) of Controlled Item(s): |  |

1. *Personnel participating on the project in previous 12 months:*

|  |  |  |  |
| --- | --- | --- | --- |
| **Full Name** | **Country of Citizenship** | **Reviewed & Signed TCP** | **CITI Training Completed** |
|  |  |  |  |
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|  |  |  |  |

1. *Has any controlled equipment been purchased for the project in the past 12 months?*

If yes, please list purchase date, Vendor, and Classification number:

1. *Describe any changes in Physical Security measures*:
2. *Describe any changes in IT security measures*:
3. *Identify any self-assessments or audits that were performed, steps taken, dates performed*:

|  |
| --- |
| **Principal Investigator Acknowledgement**  **I. I understand that** the law makes no exceptions for foreign graduate students or visiting scientists. **\_\_\_\_\_\_\_\_**  **II. I understand: \_\_\_\_\_\_\_\_**   * I may be held personally liable for civil or criminal violations of the U.S. Export Control Regulations; * I must be clear on the requirements and exercise reasonable care in using and sharing Export Controlled information, technology, software, or items with others. * Any changes to approved procedures or changes in personnel having access to controlled information covered must be cleared **in advance** by the Research Compliance Office.   **Printed Name:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Signature:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **Date:**\_\_\_\_\_\_\_\_\_\_\_ |

**Department Chair:**

**Printed Name:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Signature:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Date:**\_\_\_\_\_\_\_\_\_\_\_

**Reviewed by the Research Compliance Office:**

**BY: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date\_\_\_\_\_\_\_\_\_\_**

**Notes:**